► See separate instructions.

| P | Reporting | ssuer | | | | | | |
|---|--|---------------------------------------|----------------|----------------------------------|-----------------------------|---|--|--|
| 1 | Issuer's name | | | | 2 Issuer's emp | oloyer identification number (EIN) | | |
| | Harbour Global C | Growth & Income | N/ | N/A | | | | |
| 3 | | | | No. of contact | 5 Email address | 5 Email address of contact | | |
| Duarte Boucinha | | | 416-0 | 416-681-1752 | | dboucinha@ci.com | | |
| 6 Number and street (or P.O. box if mail is not delivered | | | | reet address) of contact | 7 City, town, or po | 7 City, town, or post office, state, and ZIP code of contac | | |
| | 2 Queen Street East, 20th Floor | | | | Toronto | Toronto, Ontario, M5C 3G7 | | |
| 8 | Date of action | | 9 Classif | 9 Classification and description | | | | |
| | Tax Year 2020 | | | Non-taxable distribution | | | | |
| 10 | CUSIP number | 11 Serial number(s) | | 12 Ticker symbol | 13 Account num | ber(s) | | |
| | N/A | N/A | | N/A | N/ | A | | |
| Pa | | | additional | statements if needed. S | | | | |
| 14 | - | | | | | Iders' ownership is measured for | | |
| | the action ► | | • | | - | he 2020 taxation year. | | |
| | | | | | | at occurred throughout | | |
| | | the 2020 taxa | | | | | | |
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| 15 | Describe the quantitat share or as a percenta | | | | rity in the hands of a U.S. | taxpayer as an adjustment per | | |
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| 16 | Describe the calculation valuation dates ► | on of the change in ba $\mathrm{N/A}$ | sis and the da | ata that supports the calcu | Ilation, such as the marke | t values of securities and the | | |
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| For | Paperwork Reduction | Act Notice see the f | enarate Inct | ructions | Cat. No. 37752P | Form 8937 (12-2017) | | |
| | | | | | Gui. 110. 01 / 021 | | | |

| Form | 8937 (12- | 017) | | Page 2 | | | | | |
|------|------------|---|---------------------|-----------------------------|--|--|--|--|--|
| Pa | rt II | Drganizational Action (continued) | | | | | | | |
| 17 | | applicable Internal Revenue Code section(s) and subsection(s) upon which the tax tr | reatment is based ▶ | ► | | | | | |
| | | | | 312 and 316 | | | | | |
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| 40 | Con on | resulting lass he recognized $\sim N/A$ | | | | | | | |
| 18 | Can an | resulting loss be recognized? ► N/A | | | | | | | |
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| 19 | Provide | any other information necessary to implement the adjustment, such as the reportabl | le tax year ► | N/A | | | | | |
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| | Und | Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge. | | | | | | | |
| Sigr | | -+ | | | | | | | |
| Her | <u> </u> | | Date March 31, 2020 | | | | | | |
| | | | | | | | | | |
| | | your name ► Darie Urbanky Print/Type preparer's name Preparer's signature | Title ► President | and Chief Operating Officer | | | | | |
| Paie | d parer | Print/Type preparer's name Preparer's signature | | Check if self-employed | | | | | |
| | e Only | Firm's name | | Firm's EIN ► | | | | | |
| | , | Firm's address 🕨 | | Phone no. | | | | | |

Send Form 8937 (including accompanying statements) to: Department of the Treasury, Internal Revenue Service, Ogden, UT 84201-0054